

Lockton Companies, LLC

Privacy Policy Notice

As of July 1, 2023

Purpose of this notice

Title V of the Gramm-Leach-Bliley Act (GLBA) generally prohibits any financial institution, directly or through its affiliates, from sharing nonpublic personal information about you with a nonaffiliated third party unless the institution provides you with a notice of its privacy policies and practices, such as the type of information that it collects about you and the categories of persons or entities to whom it may be disclosed. In compliance with the GLBA, we are providing you, as the employer insured, with this document, which notifies you of the privacy policies and practices of Lockton Companies, LLC, and its subsidiaries and affiliates concerning employee benefits insurance.

Our privacy policies & practices

INFORMATION WE COLLECT:

We collect nonpublic personal information about you from the following sources:

- Information we receive from applications or other forms that may include employee, dependent, or claimant name, address, phone number, social security number, dependency information, vehicle and driver information, date of birth, medical information, and insurance coverage information.
- Information about your transactions with us, our affiliates or others that may include information about previous claims.
- Information we receive from consumer reporting agencies (including motor vehicle reports).

INFORMATION WE MAY DISCLOSE TO THIRD PARTIES:

We may disclose all of the personal information we collect about your employees/claimants, as described above, in order to provide certain services to you.



NONAFFILIATED THIRD PARTIES TO WHOM DISCLOSURES MAY BE MADE:

We may disclose nonpublic personal information about your employees/claimants to the following types of third parties:

- Financial service providers, such as insurance companies and their affiliates and representatives including managing general agents and managing general underwriters.
- Others, such as other insurance brokers or agents, wholesalers, third-party administrators, or adjusters.

We may also disclose personal information to nonaffiliated parties as permitted by law.

OUR PRACTICES REGARDING INFORMATION CONFIDENTIALITY & SECURITY:

We restrict access to nonpublic personal information about your employees/claimants to those employees who need to know that information in order to provide services to you. We maintain physical, electronic and procedural safeguards that comply with federal regulations to guard such nonpublic personal information.

OUR POLICY REGARDING DISPUTE RESOLUTION:

Any controversy or claim arising out of or relating to our privacy policy, or the breach thereof, shall be settled by arbitration in accordance with the rules of the American Arbitration Association, and judgment upon the award rendered by the arbitrator(s) may be entered in any court having jurisdiction thereof.

PERSONAL INFORMATION OF FORMER CUSTOMERS:

Our personal information privacy policy also applies to former customers.

CHANGES IN PRIVACY POLICY:

We may modify our privacy policy at any time. We will notify you of such changes at least annually.